

**PATENT**  
Docket No. 5634.310

#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors: John C. Harvey and James W.  
Cuddihy

Serial No.: 08/480,392

Filing Date: June 7, 1995

For: Signal Processing Apparatus and  
Methods

Group Art Unit: 2602

Examiner:

Assistant Commissioner of Patents  
Washington D.C. 20231

SEARCHED  
INDEXED  
MAILED  
JUN 11 1995

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56(a) and in conformance with the procedures of 37 C.F.R. §§ 1.97-98 and M.P.E.P. § 609, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449.

The above-referenced application claims priority under 35 U.S.C. § 120 of the following applications:

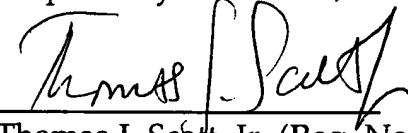
<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>
08/113,329	August 30, 1993	Pending
08/056,501	May 3, 1993	5,335,277
07/849,226	March 10, 1992	5,233,654
07/588,126	September 25, 1990	5,109,414
07/096,096	September 11, 1987	4,965,825

Applicants believe that all references cited on the attached PTO 1449 form were cited by or submitted to the PTO in one or more of the case cited above or in U.S. Patent Application Serial No. 06/829,531, filed February 15, 1986 now U.S. Patent No. 4,704,725, U.S. Patent Application Serial No. 06/317,510, filed November 3, 1981 now U.S. Patent No. 4,694,490 and co-pending U.S. Patent Application Serial No. 08/448,143, filed May 23, 1995. Therefore, no copies of the listed references are provided herewith. Additionally, references believed to be dated in the period from November 3, 1981 through September 11, 1987 are included in the present IDS as this application only claims priority to the September 11, 1987 filing date. It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

CERTIFICATION AND/OR FEE

Since this IDS is being filed pursuant to 37 C.F.R. § 1.97(b) before the later of three months after the filing date of the above-referenced application or the date of receipt of the first Office action on the merits, no certification or fee is required.

Respectfully submitted,

  
Thomas J. Scott, Jr. (Reg. No. 27,836)

Dated: December 5, 1995

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**U. S. Patent Documents**

Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date *
	3,602,891	8/31/71	Clark et al.	340/172.5	
	3,651,471	3/21/72	Haselwood et al.	340/172.5	
	3,733,431	5/15/73	Kirk, Jr., et al.	178/5.1	
	3,849,729	11/19/74	Van Baggem	325/31	
	3,906,450	9/16/75	Prado, Jr.	340/150	
	3,947,624	3/30/76	Miyake	178/6	
	3,947,972	4/6/76	Freeman	35/8 A	
	3,973,206	8/3/76	Haselwood et al.	325/455	
	3,987,397	10/19/76	Belcher et al.	325/308	
	4,048,562	9/13/77	Haselwood et al.	325/31	
	4,078,316	3/14/78	Freeman	35/8 A	
	4,081,832	3/28/78	Sherman	358/124	
	4,117,605	10/3/78	Kurland et al.	35/9 A	
	4,144,495	3/13/79	Metzger	325/4	
	4,218,698	8/19/80	Bart et al.	358/22	
	4,320,256	3/16/82	Freeman	179/6.04	
	4,338,643	7/6/82	Tadokoro	360/135	

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<b>Title: Signal Processing Apparatus and Method</b>		

**U. S. Patent Documents**

Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date *
	4,369,333	1/18/83	Gemperle et al.	178/22.13	
	4,377,870	3/22/83	Anderson et al.	455/2	
	4,410,911	10/18/83	Field et al.	358/121	
	4,414,516	11/8/83	Howard	333/21A	
	4,420,656	12/13/83	Freeman	179/6.04	
	4,422,486	12/27/83	Maret	144/117R	
	4,429,385	1/31/84	Cichelli et al.	370/92	
	4,433,211	2/21/84	McCalmont et al.	179/1.5 S	
	4,433,379	2/21/84	Schenk et al.	364/200	
	4,443,660	4/17/84	DeLong	178/22.04	
	4,449,114	5/15/84	Fascenda et al.	340/988	
	4,450,477	5/22/84	Lovett	358/86	
	4,451,700	5/29/84	Kempner et al.	179/2 AS	
	4,454,543	6/12/84	Lund et al.	358/118	
	4,454,594	6/12/84	Heffron et al.	364/900	
	4,458,109	7/3/84	Mueller-Schloer	178/22.11	
	4,458,315	7/3/84	Uchenick	364/200	
	4,460,922	7/17/84	Ensinger et al.	358/122	

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	4,461,032	7/17/84	Skerlos	455/4	
	4,462,076	7/24/84	Smith, III	364/200	
	4,462,078	7/24/84	Ross	364/300	
	4,475,153	10/2/84	Kihara et al.	364/145	
	4,475,189	10/2/84	Herr et al.	370/62	
	4,476,573	10/9/84	Duckeck	455/45	
	4,484,027	11/20/84	Lee et al.	178/22.13	
	4,484,217	11/20/84	Block et al.	358/84	
	4,486,773	12/4/84	Okubo	358/84	
	4,488,289	12/11/84	Turner	370/60	
	4,491,945	1/1/85	Turner	370/60	
	4,494,156	1/15/85	Kadison et al.	360/48	
	4,494,230	1/15/85	Turner	370/60	
	4,503,287	3/5/85	Morris et al.	178/22.08	
	4,503,538	3/5/85	Fritz	371/28	
	4,507,680	3/26/85	Freeman	358/86	
	4,509,073	4/2/85	Baran et al.	358/86	
	4,512,011	4/16/85	Turner	370/60	

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	4,513,324	4/23/85	Poetsch et al.	358/214	
	4,520,404	5/28/85	Von Kohorn	358/335	
	4,521,806	6/4/85	Abraham	358/86	
	4,527,194	7/2/85	Sirazi	358/86	
	4,531,020	7/23/85	Wechselberger et al.	178/22.08	
	4,531,021	7/23/85	Bluestein et al.	178/22.08	
	4,532,547	7/30/85	Bennett	358/148	
	4,533,948	8/6/85	McNamara et al.	358/122	
	4,533,949	8/6/85	Fujimura et al.	358/122	
	4,535,355	8/13/85	Arn et al.	358/123	
	4,538,174	8/27/85	Gargini et al.	358/86	
	4,539,676	9/3/85	Lucas	370/60	
	4,544,963	10/1/85	Jacoby et al.	360/40	
	4,546,382	10/8/85	McKenna et al.	358/84	
	4,546,387	10/8/85	Glaab	358/186	
	4,547,804	10/15/85	Greenberg	358/142	
	4,553,252	11/12/85	Egendorf	377/15	
	4,554,418	11/19/85	Toy	179/2 DP	

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	4,558,464	12/10/85	O'Brien, Jr.	455/4	
	4,562,306	12/31/85	Chou et al.	178/22.08	
	4,562,465	12/31/85	Glaab	358/120	
	4,562,495	12/31/85	Bond et al.	360/78	
	4,566,030	1/21/86	Nickerson et al.	358/84	
	4,567,512	1/28/86	Abraham	358/86	
	4,573,072	2/25/86	Freeman	358/86	
	4,573,151	2/25/86	Jotwani	370/56	
	4,574,305	3/4/86	Campbell et al.	358/86	
	4,575,750	3/11/86	Callahan	358/86	
	4,577,289	3/18/86	Comerford et al.	364/900	
	4,580,165	4/1/86	Patton et al.	358/148	
	4,583,128	4/15/86	Anderson, Jr. et al.	358/302	
	4,584,641	4/22/86	Guglielmino	364/200	
	4,586,134	4/29/86	Norstedt	364/200	
	4,588,991	5/13/86	Atalla	340/825.31	
	4,589,064	5/13/86	Chiba et al.	364/200	
	4,590,516	5/20/86	Abraham	358/86	

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	4,591,248	5/27/86	Freeman	352/133	
	4,591,664	5/27/86	Freeman	179/6.06	
	4,591,906	5/27/86	Morales-Garza et al.	358/84	
	4,592,546	6/3/86	Fascenda et al.	273/1 E	
	4,593,376	6/3/86	Volk	364/900	
	4,595,950	6/17/86	Löfberg	358/122	
	4,596,021	6/17/86	Carter et al.	375/5	
	4,597,058	6/24/86	Izumi et al.	364/900	
	4,598,288	7/1/86	Yarbrough et al.	340/825.34	
	4,599,611	7/8/86	Bowker et al.	340/721	
	4,599,647	7/8/86	George et al.	358/122	
	4,602,279	7/22/86	Freeman	358/86	
	4,603,232	7/29/86	Kurland et al.	179/2 AS	
	4,605,973	8/12/86	Von Kohorn	358/335	
	4,608,456	8/26/86	Paik et al.	179/1.5 S	
	4,613,901	9/23/86	Gilhousen et al.	358/122	
	4,616,263	10/7/86	Eichelberger	358/185	
	4,620,224	10/28/86	Lee et al.	358/119	

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	4,625,235	11/25/86	Watson	358/86	
	4,630,108	12/16/86	Gomersall	358/84	
	4,630,262	12/16/86	Callens et al.	370/81	
	4,634,807	1/6/87	Chorley et al.	178/22.08	
	4,634,808	1/6/87	Moerder	178/22.14	
	4,635,121	1/6/87	Hoffman et al.	358/188	
	4,638,181	1/20/87	Deiss	307/243	
	4,638,359	1/20/87	Watson	358/147	
	4,639,779	1/27/87	Greenberg	358/142	
	4,641,205	2/3/87	Beyers, Jr.	360/33.1	
	4,641,253	2/3/87	Mastran	364/328	
	4,641,307	2/3/87	Russell	370/60	
	4,646,075	2/24/87	Andrews et al.	340/747	
	4,647,964	3/3/87	Weinblatt	358/84	
	4,656,629	4/7/87	Kondoh et al.	370/85	
	4,658,093	4/14/87	Hellman	380/25	
	4,677,434	6/30/87	Fascenda	380/23	
	4,677,466	6/30/87	Lert, Jr. et al.	358/84	

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	4,677,611	6/30/87	Yanosy, Jr. et al.	370/85	
	4,680,581	7/14/87	Kozlik et al.	340/825.06	
	4,684,980	8/4/87	Rast et al.	358/86	
	4,685,056	8/4/87	Barnsdale, Jr. et al.	364/200	
	4,685,131	8/4/87	Horne	380/20	
	4,688,247	8/18/87	Davidov	380/19	
	4,689,022	8/25/87	Peers et al.	434/307	
	4,689,619	8/25/87	O'Brien, Jr.	340/825.08	
	4,692,817	9/8/87	Theis	360/12	
	4,692,819	9/8/87	Steele	360/72.1	
	4,694,491	9/15/87	Horne et al.	380/20	3/11/85
	4,695,953	9/22/87	Blair et al.	364/410	4/14/86
	4,696,034	9/22/87	Wiedemer	380/16	10/12/84
	4,697,281	9/29/87	O'Sullivan	379/59	3/14/86
	4,701,794	10/20/87	Fröling et al.	358/147	4/12/85
	4,706,121	11/10/87	Young	358/142	5/6/86
	B1 4,706,121	12/14/93	Young	358/142	5/6/86
	4,706,282	11/10/87	Knowd	380/3	12/23/85

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	4,707,828	11/17/87	Yamada	370/85	9/10/85
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	4,710,955	12/1/87	Kauffman	380/10	11/25/85
	4,712,105	12/8/87	Köhler	340/825.69	4/30/85
	4,712,238	12/8/87	Gilhousen et al.	380/20	6/8/84
	4,712,239	12/8/87	Frezza et al.	380/20	6/16/86
	4,722,526	2/2/88	Tovar et al.	273/1 E	1/20/87
	4,724,491	2/9/88	Lambert	358/310	8/28/84
	4,725,886	2/16/88	Galumbeck et al.	358/147	4/21/83
	4,728,949	3/1/88	Platte et al.	340/825.37	3/23/84
	4,731,679	3/15/88	O'Gwynn et al.	360/73	9/20/84
	4,733,301	3/22/88	Wright, Jr.	358/181	6/26/87
	4,734,764	3/29/88	Pocock et al.	358/86	5/6/85
	4,737,993	4/12/88	DeVilbiss	455/180	1/21/87
	4,739,510	4/19/88	Jeffers et al.	380/15	4/2/87
	4,740,890	4/26/88	William	364/200	12/22/83
	4,745,468	5/17/88	Von Kohorn	358/84	3/10/86
	B1 4,745,468	6/11/91	Von Kohorn	358/84	3/10/86

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	4,747,139	5/24/88	Taaffe	380/44	10/21/86
	4,748,560	5/31/88	Kataoka	364/200	10/11/85
	4,750,036	6/7/88	Martinez	358/147	5/14/86
	4,750,213	6/7/88	Novak	455/67	6/9/86
	4,751,578	6/14/88	Reiter et al.	358/183	5/28/85
	4,751,665	6/14/88	Cappello et al.	364/748	2/24/86
	4,754,326	6/28/88	Kram et al.	364/900	10/25/83
	4,755,871	7/5/88	Morales-Garza et al.	358/84	11/25/86
	4,755,883	7/5/88	Uehira	358/335	9/11/85
	4,761,646	8/2/88	Choquet et al.	340/825.520	5/20/86
	4,763,317	8/9/88	Lehman et al.	370/58	12/13/85
	4,768,087	8/30/88	Taub et al.	358/84	6/6/85
	4,768,110	8/30/88	Dunlap et al.	360/33.1	5/6/87
	4,771,456	9/13/88	Martin et al.	380/10	11/6/86
	4,775,935	10/4/88	Yourick	364/401	9/22/86
	4,783,846	11/8/88	Wachob	455/151	6/4/87
	4,786,979	11/22/88	Claus et al.	358/335	5/22/86

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	4,787,063	11/22/88	Muguet	364/900	10/16/85
	4,787,085	11/22/88	Suto et al.	370/110.1	5/29/87
	4,789,863	12/6/88	Bush	340/825.350	1/13/88***
	4,792,849	12/20/88	McCalley et al.	358/86	8/4/87
	4,792,973	12/20/88	Gilhousen et al.	380/24	12/4/87***
	4,802,114	1/31/89	Sogame	364/900	12/15/86
	4,803,725	2/7/89	Horne et al.	380/44	5/14/87
	4,805,014	2/14/89	Sahara et al.	358/86	9/14/87***
	4,812,843	3/14/89	Champion, III et al.	340/905	8/11/87
	4,813,011	3/14/89	Kulakowski et al.	364/900	5/13/85
	4,815,129	3/21/89	Griffin et al.	380/15	1/2/85
	4,816,905	3/28/89	Tweedy et al.	358/86	4/30/87
	4,821,032	4/11/89	Shimada et al.	340/825.210	12/23/85
	4,821,102	4/11/89	Ichikawa et al.	358/183	7/29/87
	4,827,508	5/2/89	Shear	380/4	10/14/86
	4,829,372	5/9/89	McCalley et al.	358/86	8/20/87
	4,833,710	5/23/89	Hirashima	380/20	12/3/87***
	4,837,858	6/6/89	Ablay et al.	455/34	4/30/87

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<b>Information Disclosure Statement by Applicant</b>  <b>Title: Signal Processing Apparatus and Method</b>	Attorney Docket No. 5634.310	Serial No. 08/480,392
	<b>Applicant(s)</b> <i>John C. Harvey and James W. Cuddihy</i>	
	Filing Date June 7, 1995	Group 2602

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Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date *
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	4,847,699	7/11/89	Freeman	358/343	7/16/87
	4,847,700	7/11/89	Freeman	358/343	7/16/87
	4,860,379	8/22/89	Schoeneberger et al.	455/5	10/20/88***
	4,866,706	9/12/89	Christophersen et al.	370/85.7	8/27/87
	4,868,866	9/19/89	Williams, Jr.	380/49	2/18/88***
	4,876,592	10/24/89	Von Kohorn	358/84	5/10/88***
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\*- If pertinent

\*\*\*- Claims priority from parent application filed prior to Sep. 11, 1987.

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Examiner Initial	Document Number	Publication Date	Country	Class / Subclass	Translation Yes	Translation No
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	0 152 251	8/21/85	European Patent Appl.	H04H 1/02		X
	0 206 821	12/30/86	European Patent Appl.	H04N 7/00		X
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	Title: <b>Signal Processing Apparatus and Method</b>	

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Examiner Initial	Author, Title, Date, Pertinent Pages, Etc.
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